# Heat transfer characteristics of dry ice-gas flow in the evaporator of a CO2 ultra-low temperature cascade refrigeration system

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## Abstract

The heat transfer behaviors of  $CO_2$  dry ice-gas two-phase flow have significant effects on the performance of some new refrigeration systems. In this paper, a series experiment of the local heat transfer characteristics of the  $CO_2$  dry ice-gas flow in the transtriple-point cycle of a  $CO_2$  ultra-low temperature cascade refrigeration system is performed by varying condensation temperatures, rotational frequency of the compressor and opening of the expansion valve. From the obtained results, the local heat transfer coefficient and wall temperature along the evaporator show greatly complicated behaviors due to the fact that the dry ice particles are condensed, sublimated and interactive with  $CO_2$  gas flow in the evaporator tube.

**Keywords:** Heat transfer; Ultra-low temperature cascade refrigeration system; Dry ice-gas flow.

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## 1. Introduction

Continuously environmental concerns on the ozone layer depletion and global warming have spurred extensive researches on looking for "environmentally friendly" refrigerant alternatives around the world in recent years (ASHRAE handbook), and a ecologically safe and natural refrigerant, CO<sub>2</sub>, has received significant attentions in developing various energy conversion systems (Lorentzen 1990, 1993, 1994; Nekså et al. 1998, 2002; Hafner 1998; Liao et al. 2002; Saikawa 2004; Girolto et al. 2004; Cechinato et al. 2005; Rieberer 2005; Stene 2005; Zhang et al. 2005; Kim et al. 2004, 2009). Compared to other typical natural fluids, CO<sub>2</sub> seems to be more favorable as a refrigerant fluid due to it having well thermodynamic and transport properties in terms of heat transfer and pressure drop (Kim et al. 2004; Zhang & Yamaguchi 2007). Due to these merits, using CO<sub>2</sub> in compression refrigeration and heat pump systems seem to be the most promising applications of energy conversion (Hafner 1998; Cechinato et al. 2005; Stene 2005). However, Most of the refrigeration processes and equipments using CO<sub>2</sub> as a working fluid can only achieve the refrigeration temperature range from -30.0 to 0.0 °C by CO<sub>2</sub> evaporation process. In some important industrial applications, i.e. fishing industry and biomedical engineering, there is a strong demand for the refrigeration temperature below -30.0 °C.

To this aim, several years ago, an ultra-low temperature refrigeration system using  $CO_2$  as a working fluid was introduced by our research group (Yamaguchi et al. 2008, 2009) and it has been shown to be able to achieve the temperature below the  $CO_2$  triple-point temperature of -56°C. The system is a cascade system comprised of two  $CO_2$  refrigeration compression cycles, where one is a trans-critical cycle and another is a trans-triple-point

cycle. The temperature below the triple-point is realized by an expansion process of the liquid  $CO_2$  into the dry ice and gas mixtures in an horizontal evaporator, an expansion tube, in the a trans-triple-point cycle (Fig. 1). The reason designing a cascade system is due to a low condensing temperature necessary for dry ice condensation in expanding process. This refrigeration system has been proved to be functional by experiments and theoretical analysis (Yamaguchi and Zhang, 2009) and has been shown to have capability of providing the users a cryogenic environment below -56.6 °C and thermal energy supply above 80 °C at the same time. In addition, parametric study based on visualizing the  $CO_2$  dry ice behaviors in the evaporator of the system has also been carried out in order to get a longer duration of the deposition and a shorter duration of melting (Niu et al. 2010; Yamaguchi et al. 2011).

As the system refrigeration performance greatly depends on the thermodynamic properties of the  $CO_2$  dry ice-gas mixture in the evaporator in the system, it is quite necessary to know the heat transfer behaviors of the  $CO_2$  dry ice-gas flow in the evaporator so that the optimal operation condition of the system can be obtained. However, such kinds of studies have been scarcely studied in the past years and detailed information of the  $CO_2$  dry ice-gas heat transfer and flow in the expansion tube is much lack (Zhang and Yamaguchi, 2011). As a continues series research works of our  $CO_2$  ultra-low temperature refrigeration system, in the present study, an intensive experimental studies of the heat transfer behaviors of the  $CO_2$  dry ice-gas flow in the evaporator of the system were carried out by varying condensation temperatures, rotational frequency of the compressor and opening of the expansion valve in the low-temperature cycle of the system. The rest of the

paper is organized as follows. In Sec. 2, details of the experiment are introduced. Sec. 3 is devoted to results and discussions of the present study. A conclusion is given in Sec. 4.

#### 1. Experiment details

# 2.1 Experimental set-up

The CO<sub>2</sub> cascade refrigeration system is composed of two cycles (Yamaguchi and Zhang, 2009, Niu et al., 2011, Yamaguchi et al., 2011): a low temperature cycle (LTC) and a high temperature cycle (HTC). In the HTC, CO<sub>2</sub> is cooled to  $-20^{\circ}$ C through a reciprocating-type compressor (TCS350/4, Product of Officine Mario Dorin), two condensers (Tubular-type heat exchangers, Nippon Manufacture Co. Ltd.), a needle expansion valve (Product of Swagelok) and a plate-type evaporator (Product of Tokyo blaze Co., Ltd.). In the LTC, one more condenser is used and cooled by the brine from the evaporator of the HTC. Through three condensers in the LTC, CO<sub>2</sub> is cooled to below - 20°C and then expanded into the horizontal evaporator to achieve the dry ice-gas two-phase flow and obtain an ultra-low refrigeration temperature below the triple point (Fig. 1).

As the system refrigeration mainly depends on the performance of the LTC of the whole system, we are here only displaying the set-up of the LTC. Fig. 2 shows the sketch of the LTC of the system with pressure and temperature measuring points indicated. Particularly, we defined the temperature before the expansion valve as the condensation temperature  $T_{\text{condensation}}$  in the present study. The details of the test section of the evaporator in the LTC are sketched in Fig. 2. The test section is a horizontal circular copper tube, which has an internal diameter of 0.04m and thickness of 0.0025m. The length of the test

section is 5.0 m. The inlet and outlet pipes have a same thickness of 0.0015m, and their diameters are 0.01588m and 0.02222m, respectively. The heater used to heat the tube is a silicon-gum-type heater with good water proof. The heater can be used in a low-temperature environment until -80°C. In order to investigate the heat transport characteristics of CO<sub>2</sub> dry ice-gas two phase flow in the test section, four pressure measuring points (denoted as  $P_1$ - $P_4$ ) were arranged with uniformly distributed along the evaporator, and 13 outer wall temperature measuring points (denoted as  $T_1$ - $T_{13}$ ) were positioned along the evaporator by: three points at the entrance neighborhood with interval 200mm, ten points in the middle of test section with interval 400mm. In addition, temperatures at the inlet and outlet tubes were also measured and they were denoted as  $T_{\rm in}$  and  $T_{\rm out}$ , respectively.

## 2.2 Experimental implementation and measurements

To well understand the system performance during investigation, all measurements were taken after the whole system runs stably. In experiment, the HTC was started first to cool the brine (concentration 55%, melting point -30 °C) of second subsystem. After the brine was fully cooled, the heater (Maximum heat flux 4,244 W/m<sup>2</sup>) rounded in the evaporator in the LTC was started to preheat the tube. When the evaporator in the LTC reached the prescribed temperature, the LTC was started. Then the two machine systems should be made to operate simultaneously. The stable state of the system operation was judged by observing whether temperatures and pressures before and after the compressor in the LTC converged into a confined range. In the present work, pressure and temperature

became steady around 3 hrs later from the HTC starting working.

In investigation, the flow rates of the cool and hot water at two condensers and the brine in the evaporator were kept at 7.0 l/min, 120 l/min and 50 l/min, respectively. To obtain optimized operation condition of the system and understand the heat transfer behaviors of CO<sub>2</sub> in the evaporator in the LTC, studies were carried out by mainly varying working conditions of the condensation temperature  $T_{\text{condensation}}$ , the expansion valve opening  $D_{\text{valve}}$  and the compressor rotational frequency  $f_{\text{compressor}}$  in the LTC. The condensation temperature can be adjusted and controlled by the brine flow rate and temperature and so on in the HTC through the brine heat exchanger. The detailed test conditions are listed as follows:

- Case 1:  $T_{\text{condensation}} = -20 \text{ °C}$ , -25 °C and -30 °C; Heat flux of the heater: q = 1500 W(2652.5 W/m2);  $D_{\text{valve}} = 25 \text{ mm}$ ;  $f_{\text{compressor}} = 60 \text{ Hz}$ ; Temperature of the cool water:  $T_{\text{cw}} = 15 \text{ °C}$ .
- Case 2:  $f_{\text{compressor}} = 45 \text{ Hz}$ , 50 Hz, 55 Hz, 60 Hz, 65 Hz and 70 Hz;

2.1:  $D_{\text{valve}} = 20 \text{ mm}, q = 1909.9 \text{ W/m}^2, T_{\text{cw}} = 15 \text{ }^{\circ}\text{C} \text{ and } T_{\text{condensation}} = -20 \text{ }^{\circ}\text{C}.$ 2.2:  $D_{\text{valve}} = 15 \text{ mm}, q = 1909.9 \text{ W/m}^2, T_{\text{cw}} = 15 \text{ }^{\circ}\text{C} \text{ and } T_{\text{condensation}} = -20 \text{ }^{\circ}\text{C}.$ 

• Case 3:  $D_{valve} = 15 \text{ mm}$ , 20 mm and 25 mm;

3.1:  $f_{\text{compressor}} = 50 \text{ Hz}, q = 1909.9 \text{ W/m}^2, T_{\text{cw}} = 15 \text{ }^{\circ}\text{C} \text{ and } T_{\text{condensation}} = -20 \text{ }^{\circ}\text{C}$ 3.2:  $f_{\text{compressor}} = 60 \text{ Hz}, q = 1909.9 \text{ W/m}^2, T_{\text{cw}} = 15 \text{ }^{\circ}\text{C} \text{ and } T_{\text{condensation}} = -20 \text{ }^{\circ}\text{C}$ 

In measurement, T-type thermocouples with an uncertainty of 0.1°C and pressure transmitter with an uncertainty of 0.2% were used for measuring temperature and pressure, respectively. All measured data were transferred into computer through distributor and data

logger. The sample data were obtained in every 5s.

## 2.3 Evaluations

In the present study, all analyses presented in this paper were based on the measured data of the wall temperatures and the  $CO_2$  pressures along the test section tube. The pressure-bear material of the experimental set-up makes it difficult to measure the internal temperature of the tube. Here, the inside tube wall temperature is estimated based on a simple calculation, which is carried out on thermal resistance from the outside tube wall to the inside tube wall. Then this inside tube wall is used in estimating heat transfer characteristics. In addition, the liquid CO<sub>2</sub> becomes the dry ice-gas two-phase fluid after passing through the expansion valve and its pressure is reduced. As shown in the curve in Fig. 1, it is noted that the isotherm line is parallel to the horizontal axis in the dry ice-gas two-phase region, thus the saturation temperature values  $(T_{1in}, T_{2in}, T_{3in}, T_{4in}, Fig. 3$  (b)) corresponding to the measured pressures  $(P_1 - P_4)$  can be regarded as the internal CO<sub>2</sub> fluid temperature inside the test section tube. The CO<sub>2</sub> temperature between two pressure measurement points is obtained by averaging temperatures of the two points, which can also be seen in Fig. 3 (b). In this figure, a coordinate system x is also given, in which the origin point is located at the beginning of the test section. According to Newton's cooling law, the local heat transfer coefficient *h* is thus given by:

$$h = \frac{q}{T_w - T_{in}},\tag{1}$$

where  $q \, [W/m^2]$  is the heat flux of the heater to the expansion tube, and  $T_w$  and  $T_{in}$  are the inner-wall temperature of the expansion tube and the CO<sub>2</sub> temperature in the tube, respectively.

## 3. **Results & Discussions**

Fig. 4 shows the distributions of the wall temperature  $T_w$  and the local heat transfer coefficient h along the evaporator in the LTC of the system at the condition of case 1. As shown of the wall temperature distribution in Fig. 4(left), for all three test condensation temperatures,  $T_{\rm w}$  shows large variations in amplitude along the evaporator. This is mainly due to the fact that the dry ice particles are condensed and then sublimated and interactive with  $CO_2$  gas flow in the evaporator tube. Particularly, it is seen that  $T_w$  rises slowly from x = 0 to x = 3500 [mm] along the evaporator, and increases rapidly after x = 35000 [mm]. It may be explained the CO<sub>2</sub> fluid sublimates in the region of  $x = 0 \sim 3500$  [mm]. Because the sublimation process of dry ice absorbs a large amount of heat, the wall temperature rise cannot be obvious. After x = 3500 [mm], the CO<sub>2</sub> fluid may mainly be gas state, so the CO<sub>2</sub> temperature increases obviously when the tube is heated. In this region, the non-equilibrium effects may dominate and the CO<sub>2</sub> vapor temperature increases and exceeds the sublimation temperature in the dry ice particles. Moreover, our early studies (Niu et al. 2010; Yamaguchi et al. 2011; Zhang & Yamaguchi, 2011) showed that, with T<sub>condensation</sub> reducing, the refrigeration temperature in the evaporator tube in the LTC of the system decreases. This can also be evidenced by the local wall temperature distribution in Fig. 4 (left), which demonstrates  $T_w$  decreasing with  $T_{condensation}$  reducing, and the sublimation length becomes longer when  $T_{\text{condensation}}$  is lower, implying that the CO<sub>2</sub> particle sublimation become slower if  $T_{\text{condensation}}$  is decreased.

Based on the wall temperature distributions, the local heat transfer coefficients h at the condition of Case 1 is shown in Fig. 4 (right). In the present measurement, the accuracy of the local heat transfer coefficients h and other all the parameters are calculated to be less than  $\pm 3.0\%$  using the uncertainty analysis (Yamaguchi and Zhang, 2009). From this figure, it is seen that the local heat transfer coefficients h also vary in amplitude along the evaporator tube and is relatively flat with slightly decrease in the region of  $x = 0 \sim 3500$ [mm]. It may be physically explained that, in this region the dry ice condensation and sublimation behavior makes the CO<sub>2</sub> flow field complicated: i. e., the dry ice particle accumulation impedes the flow and the dry ice sublimation makes the thermal boundary layer thinner. The above reasons may contribute to the phenomena of the heat transfer variation and slightly decrease in the region of  $x = 0 \sim 3500$  [mm]. After x = 3500 [mm], the local heat transfer coefficient has a larger oscillation and general trend is decreasing, which should be attributed to the flow in gas state and the development of the thermal boundary layer of the flow. Moreover, the local heat transfer coefficients h becomes larger when  $T_{\text{condensation}}$  reducing, which slows the dry ice sublimation rate.

Figs. 5 and 6 show the distributions of  $T_w$  and h of  $f_{compressor} = 50$ , 60 and 70 [Hz] along the evaporator in the LTC of the system at the conditions of cases 2.1 and 2.2, respectively. It is observed from Figs. 5 and 6 that the distributions of  $T_w$  and h of  $f_{compressor} = 50$ , 60 and 70 [Hz] along the evaporator show similar behaviors to those in Fig. 4. However, compared to Fig. 4,  $T_w$  and h in these two figures show smaller amplitude variations along the evaporator due to  $D_{\text{valve}}$  and q in these tests reducing, which implies the dry ice sublimation rate decreases. As shown in Fig. 5, the effect of  $f_{\text{compressor}}$  to the heat transfer of the CO<sub>2</sub> dry ice-gas two-phase flow in the evaporator is complicated: when  $f_{\text{compressor}}$  increases from 50 to 60 [Hz],  $T_{w}$  decreases and thus h increases; but when  $f_{\text{compressor}}$  increases further from 60 to 70 [Hz],  $T_{w}$  increases again and thus h decreases again. These phenomena can be explained by two reasons; one is that the dry ice sublimation in the evaporator increases from  $f_{\text{compressor}} = 50$  to 60 [Hz] and decreases from  $f_{\text{compressor}} = 60$  to 70 [Hz]; the other is that the dry ice particles may be more clustered in the evaporator tube and block the flow passage at  $f_{\text{compressor}} = 60$  to 70 [Hz] than they are at  $f_{\text{compressor}} = 50$  to 60 [Hz]. The effect of dry ice cluster reducing flow passage can be more demonstrated in Fig. 6, by reducing  $D_{\text{valve}}$  further to 15 mm, the dry ice should be more clustered resulting  $T_{w}$  increasing when  $f_{\text{compressor}}$  increases from 50 to 70 [Hz] and further leading to h decreases.

The effects of  $D_{valve}$  on the local heat transfer of the CO<sub>2</sub> dry ice-gas two-phase flow in the evaporator are further examined in Figs. 7 and 8, which show  $T_w$  and h of  $D_{valve} = 15$ , 20 and 25 [mm] along the evaporator in the LTC of the system at the conditions of Cases 3.1 and 3.2, respectively. From Figs. 7 and 8, one can observed opposite heat transfer behaviors at  $f_{compressor} = 50$  and 60 [Hz] by varying  $D_{valve}$ . At  $f_{compressor} = 50$  Hz,  $T_w$  decreases and h increases with reducing  $D_{valve}$ . However, at  $f_{compressor} = 60$  Hz,  $T_w$  increases and hdecreases with reducing  $D_{valve}$ . These phenomena illustrate that, although increasing  $f_{compressor}$  increases the CO<sub>2</sub> flow rate in the LTC and hence should improve the refrigeration and heat transfer of the CO<sub>2</sub> dry ice-gas two-phase flow in the evaporator, reducing  $D_{valve}$  heat transfer of the flow in the evaporator; when the effect of the dry ice particles is over that of the increased  $f_{\text{compressor}}$ , the local heat transfer of the CO<sub>2</sub> dry ice-gas two-phase flow decreases.

#### 4. Conclusions

In this study, a series experimental studies of the heat transfer characteristics of the  $CO_2$  dry ice-gas flow in the evaporator of a  $CO_2$  ultra-low temperature cascade refrigeration system was performed by varying condensation temperatures, rotational frequency of the compressor and opening of the expansion valve in the low temperature cycle of the system.

From the present study, we found that the local heat transfer coefficient and wall temperature along the evaporator show greatly complicated behaviors due to the fact that the dry ice particles are condensed, sublimated and interactive with CO<sub>2</sub> gas flow in the evaporator tube. In the experimented range of this study, the CO<sub>2</sub> sublimation process is measured to occur within x = 0 to 3500 [mm], in which the wall temperature is observed to vary in amplitude and keeps slightly increase trend under the test conditions. After x = 3500[mm], the wall temperature seems to increase obviously along the evaporator tube. At the same time, the local heat transfer coefficients are observed to also vary in amplitude but keeps slightly decrease trend in the region of x = 0 to 3500 [mm] under the test conditions. After x = 3500 [mm], the local heat transfer coefficient has a larger oscillation and general trend is decreasing, which should be attributed to the flow in gas state and the development of the thermal boundary layer of the flow. Moreover, the local heat transfer coefficients can be enhanced by reducing the condensation temperature. However, the effect of the rotational frequency of the compressor and the openings of the expansion valve on the local

heat transfer are very complicated due to the dry ice sublimation and cluster in the evaporator tube.

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Fig. 1 Principle schematic of the refrigeration method using  $CO_2$  dry ice-gas two phase

fluid flow: CO<sub>2</sub> *P*-*h* diagram.



Fig. 2 Schematic of the low temperature cycle (LTC) of the CO<sub>2</sub> cascade refrigeration

system



- ① Copper pipe (*d*=40mm, *t*=2.5mm, *L*=5000mm)
- ② Heater (silicon rubber)
- ③ Glass-wool insulation (150mm)
- (4) Pressure transducer (4 points, uniformly distributing along test section)
- (5) Thermocouple (15 points)





(b) Wall and inside temperatures

Fig. 3 Schematic of the test section and pressure and temperature measurement positions

along the test section.



Fig. 4 Wall temperature (left) and local heat transfer coefficient (right) and of three condensation temperatures along the expansion tube ( $q = 2652.5 \text{ W/m}^2$ ;  $D_{\text{valve}} = 25 \text{ mm}$ ;  $T_{\text{cw}} = 15 \text{ }^{\circ}\text{C}$ ;  $f_{\text{compressor}} = 60 \text{ Hz}$ ).



Fig. 5 The wall temperature (left) and heat transfer coefficient (right) of three rotational frequencies of the compressor along the expansion tube ( $q = 1909.9 \text{ W/m}^2$ ;  $D_{\text{valve}} = 20 \text{ mm}$ ;  $T_{\text{cw}} = 15 \text{ }^{\circ}\text{C}$ ;  $T_{\text{condensation}} = -20 \text{ }^{\circ}\text{C}$ )



Fig. 6 The wall temperature (left) and heat transfer coefficient (right) of three rotational frequencies of the compressor along the expansion tube ( $q = 1909.9 \text{ W/m}^2$ ;  $D_{\text{valve}} = 15 \text{ mm}$ ;  $T_{\text{cw}} = 15 \text{ }^{\text{o}}\text{C}$ ;  $T_{\text{condensation}} = -20 \text{ }^{\text{o}}\text{C}$ )



Fig. 7 The wall temperature (left) and heat transfer coefficient (right) of three expansion valve openings along the expansion tube ( $q = 1909.9 \text{ W/m}^2$ ;  $f_{\text{compressor}} = 50 \text{ Hz}$ ;  $T_{\text{cw}} = 15 \text{ }^{\circ}\text{C}$ ;  $T_{\text{condensation}} = -20 \text{ }^{\circ}\text{C}$ ).



**Fig. 8** The wall temperature (left) and heat transfer coefficient (right) of three expansion valve openings along the expansion tube ( $q = 1909.9 \text{ W/m}^2$ ;  $f_{\text{compressor}} = 60 \text{ Hz}$ ;  $T_{\text{cw}} = 15 \text{ }^{\circ}\text{C}$ ;  $T_{\text{condensation}} = -20 \text{ }^{\circ}\text{C}$ ).